



# CONTRACTOR COMPLIANCE & MONITORING, INC.

www.ccmi-tpa.com

635 MARINERS ISLAND BLVD, STE. 200, SAN MATEO, CA 94404 - P 650-522-4403 - F 650-522-4402

August 2, 2011

Mr. Connor Schmeding  
Office of the Director  
Department of Industrial Relations  
Transmitted by Facsimile Only: 415-703-5344

Re: **Annual Report July 1, 2010 through June 30, 2011** for Contractor Compliance and Monitoring Inc.

Dear Mr. Schmeding,

Contractor Compliance and Monitoring Inc. (CCMI) is submitting its Annual Report. CCMI provides services to public agencies which do not have their own LCP approved program (thus using CCMI's LCP) as well as contracting with public agencies to administer that agencies' LCP.

I am enclosing reports of the following Public Entities<sup>1</sup> which use CCMI's Labor Compliance Program:

Brannan-Andrus Levee Maintenance District  
Burlingame School District  
Calleguas Municipal Water District  
Ceres Unified School District  
Delano-Earlimart Irrigation District  
City of Fremont  
Kirkwood Elementary School District  
Merced Union High School District  
North Coast County Water District  
Oroville Union High School District  
Reclamation District #38  
Reclamation District #800  
Riverbank Unified School District  
County of Santa Cruz Department of Public Works  
Santa Cruz County Health Services Agency  
Santa Cruz County Sanitation District  
County of Santa Cruz Resource Conservation District  
Soquel Creek Water District  
Stanislaus County Office of Education  
St. Helena Unified School District  
Sutter Union High School District  
County of Ventura  
Ventura County Resource Conservation District  
Ventura County Watershed Protection District  
Ventura County Waterworks District  
Whittier Union High School District

<sup>1</sup> In our prior Annual Report, we included Plumas Unified School District's Portola Junior/Senior High School Dining Area project because we were unsure of the funding. We have subsequently learned that the funding is Prop 1-D and therefore we have not included said project in this year's Annual Report.

For your information, CCMI assists the following public agencies in implementing their own LCP. This information is not included in CCMI's annual report and will be submitted separately by the appropriate awarding body:

El Dorado Union High School District  
Hughson School District  
Livermore Joint Unified School District  
McSwain School District  
University of California

Should you have any further questions or comments, please feel free to contact me directly.

Sincerely,

A handwritten signature in cursive script that reads "Deborah Wilder".

Deborah Wilder  
President

1 State of California     )  
2 County of San Mateo    )     ss.  
3 \_\_\_\_\_)

4 I, Deborah E.G. Wilder, hereby declare and State:

5 1. I am the president and majority stockholder of Contractor Compliance and Monitoring,  
6 Inc. (CCMI), a third party labor compliance program approved by the Department of Industrial  
7 Relations. I submit this statement for the purpose of compliance with Title 8 CCR Sections  
8 16431(5), 16426(a)(2), (3) and (5).

9 2. From November 1997 through December 5, 2005 I served as a member of the Foster  
10 City Council, including two terms as Mayor. Each year in approximately April, I filed a Form  
11 700 with the Fair Political Practices Committee (FPPC). In December 2005, I filed a "leaving  
12 office form 700" with the FPPC. Neither I, nor any of the officers nor employees of CCMI are  
13 elected or appointed officials. None of the officers or employees of CCMI participate in the  
14 making of government decisions for any Public Agency within the State of California.

15 3. CCMI conducts and intends to continue to conduct Labor Compliance programs  
16 throughout all of California. As provided in our Annual Report, we provide services as far north  
17 as Yreka and as far south as Chula Vista. We have 3 statewide offices located in San Mateo, San  
18 Diego and in the Central Valley (Tracy). We provide LCP services to School Districts, County  
19 Offices of Education, Colleges, Cities, Water Districts and the University of California. A listing  
20 of all such Public Entities is listed on the attached Annual Reporting form and attachments.

21 4. CCMI does share office space and has a close affiliation with the Law Office of  
22 Deborah Wilder. I am the 100% owner of the Law Office of Deborah Wilder and a 52%  
23 shareholder of CCMI, However, neither CCMI, nor the Law Firm nor myself have been awarded  
24 a public works construction contract within the State of California, has been a surety of such a  
25 contract, nor been involved in the establishment of a joint labor-management committee pursuant  
26 to the Federal Labor Management Cooperation Act of 1978, nor have any of these entities  
27 represented workers employed in the same or similar classifications of those employed for such a  
28 contract or the other items set forth in 16426(a)(3).

5. I am a practicing attorney in the field of labor and employment law. I have over 30 years experience in this field, including the writing of LCPs in the early 1990s, when they were first voluntary. I am CCMI's legal counsel for its LCP and am involved in the day to day operations of their work.

6. As an attorney, I have made a diligent search of my client records and was unable to locate any construction client who had been awarded a construction contract in any of the Districts and entities listed above in Paragraph No. 3. I have specifically avoided bidding to or providing LCP services to any District in which clients or prior clients have been awarded or performed public works. I have former clients who were awarded a public contract: 9 years ago with the San Luis Obispo School District, another with the Fremont Unified School District 10 years ago, and a third contractor with the Los Angeles Unified School District about 10 years ago. Finally, I represent a contractor who performed work and still performs work with the Elk Grove School District. However, CCMI has never bid or performed Labor Compliance Work for any of these Districts.

I have read the foregoing declaration consisting of this page and two others and state under penalty of perjury that the information contained herein is true and correct to the best of my knowledge, information and belief. Executed on August 2, 2011 in San Mateo, California.

Deborah E.G. Wilder  
Deborah E.G. Wilder

## LABOR COMPLIANCE PROGRAM ANNUAL REPORT

*Format for Approved Program that contracts with Awarding Bodies to provide labor compliance enforcement*

Report for the reporting period 07/01/2010 to 06/30/2011  
(mm/dd/yyyy) (mm/dd/yyyy)

1. Name of Labor Compliance Program (LCP) :  
 Contractor Compliance and Monitoring, Inc.

2. LCP I.D. Number (assigned by DIR):  
 2003.00050

3. Date of Initial Approval:  
 03/18/2003

4. Contact person (include name, title, address, telephone, fax, and e-mail, if available):

Deborah Wilder- President  
 635 Mariners Island Blvd. #200  
 San Mateo, CA 94404  
 Phone: (650) 522-4403  
 Fax: (650) 522-4402

5. List all Awarding Bodies covered by this report as well as any other Awarding Bodies with whom the LCP currently has a contract to provide compliance enforcement. If *none*, please proceed directly to item 7 and provide all requested information. Then complete the information below, and sign and submit this form to DIR, Office of the Director, Attn: LCP Special Assistant 455 Golden Gate Avenue, 10<sup>th</sup> Floor, San Francisco, CA 94102.

See attached

What suggestions do you have for the Department of Industrial Relations to better assist you with your program in the coming year? (attach additional sheets if necessary):

The DIR's approval of Third Party LCPs created a standard of compliance. CCMI urges the DIR to continue to approve companies with LCP expertise. Should the new regulations and the CMU program be implemented, the DIR should not punish Agency LCPs who also use outside consultants by also imposing CMU fees. So long as the agency meets the LCP requirements, how they do that (whether 100% in-house or through the use of a consultant) should be left to the agencies' discretion.

SUBMITTED BY:

Deborah EG Wilder  
 Signature

Deborah EG Wilder, President  
 Name and Title

8/2/2011  
 Date

**LCP-AR3**

6. LC § 1771.5 enforcement activities (provide all information requested, attaching as many sheets as necessary, and *please complete separate forms for each Awarding Body covered in this report*).

Awarding Body: See attached

A. List projects handled by LCP within the past 12 months.

Project Name	Bid Advertisement Date	Prime Contractor	Contract Amount
Total			

B. Summary of all wages and penalties assessed and/or recovered.

Project Name	Affected Contractor (who directly employed the worker)	Amount Assessed	Amount Recovered	Approval of Forfeiture Requested from Labor Commissioner?	Description of Violation
See attached				<input type="checkbox"/> Yes <input type="checkbox"/> No	
				<input type="checkbox"/> Yes <input type="checkbox"/> No	
				<input type="checkbox"/> Yes <input type="checkbox"/> No	
				<input type="checkbox"/> Yes <input type="checkbox"/> No	
				<input type="checkbox"/> Yes <input type="checkbox"/> No	
				<input type="checkbox"/> Yes <input type="checkbox"/> No	
Total					

C. For any amount identified in item B for which approval of forfeiture not requested from the Labor Commissioner, please explain below.

Project Name	Amount Assessed	Amount Recovered	Explanation
See attached			
Total			

D. For any amount identified in item B for which approval of forfeiture was requested from the Labor Commissioner, please provide the following:

Project Name	Amount Assessed					Amount Recovered				
	LC §1776(g)	LC § 1775	LC § 1813	Wages	Total	LC § 1776(g)	LC § 1775	LC § 1813	Wages	Total
See attached										
Total										

E. Identify cases that are or were the subject of LC § 1742 proceedings.

Project Name	Contractor	Nature of Violation	ODL Case #	Current Status
N/A				

F. Did you refer any contractor to the Labor Commissioner for debarment per LC § 1777.1?

Please check one: ☐ Yes ☒ No

If yes, identify affected contractor(s) or subcontractor(s) and date(s) of referral: \_\_\_\_\_

G. Did you refer any apprenticeship violation to the Division of Apprenticeship Standards (DAS)?

Please check one: ☒ Yes ☐ No

If yes, identify affected contractor(s) or subcontractor(s) and date(s) of referral: \_\_\_\_\_ See attached

7. On a separate sheet, provide a certificate of compliance with conflict of interest disclosure requirements by employees and consultants who participate in making governmental decisions (as defined under 2 CCR § 18701) along with the names of LCP personnel who are filing disclosure statements and the agencies with which those statements are being filed. **See attached declaration**

8. Please update the following information per 8 CCR § 16426(a)(2), (3) and (5) disclosure requirement.

A. Identify the geographical areas in which the program intends to operate and the identity of any public agencies not previously identified in this report with which the program intends to contract to provide labor compliance enforcement.

CCMI operates Labor Compliance Programs throughout the State of California. CCMI continues to solicit and bid work with cities, school districts, water districts, colleges, the University of California and special districts throughout California.

B. State whether the entity shares personnel, management, ownership or other close affiliation with any of the following: (1) any contractor or subcontractor that within the preceding five years has been awarded a public works contract within the geographical area in which the program operates or intends to operate or with any public agency with which the program has contracted or intends to contract to provide labor compliance enforcement; (2) any person or entity who has been the surety on such a contract; (3) any joint labor-management committee established pursuant to the Federal Labor Management Cooperation Act of 1978 (section 175a of Title 29 of the United States Code); or (4) any person or entity who has represented workers employed in the same or similar classifications as those employed for such a contract and who has been engaged in (i) an organizational campaign under the National Labor Relations Act with contractors competing for such contracts or (ii) a jurisdictional dispute with another collective bargaining representative of workers utilized for such contracts.

For each affiliation, please provide the name, address, telephone number, and principal contact person for the person or entity; please identify shared personnel, management, and ownership; and if applicable, please provide a short description of the nature and extent of any other close affiliation:

See attached declaration

C. Identify the attorney or law firm available to provide legal support for the LCP, and whether the persons or firms providing that support also represent any contractor, subcontractor, surety, or worker representative referred to in the preceding item.

Attorney/Law Firm Name (include address, contact person, and telephone number)

Law Office of Deborah Wilder

635 Mariners Island Blvd. #200

San Mateo, CA 94404

(650) 522-4403



Public Agency	Project	Bid Advertisement Date	Prime Contractor	Affected Contractor	Total Construction Cost	Amount Assessed					Amount Recovered				
						Wages	1775	1776	1813	Total	Wages	1775	1776	1813	Total
Brannan-Andrus Levee Maintenance District	Sacramento River Arundo Removal #7702.08	8/19/2009	Hart Restoration, Inc.		258,395.00	Still open									
Brannan-Andrus Levee Maintenance District	Sacramento River Spring 2010 Vegetation Management #7702.15	4/28/2010	Sun Harvest, Inc.		49,950.00	40.94			75.00	115.94	58.07			75.00	133.07
Brannan-Andrus Levee Maintenance District	Fall 2010 Revetment Rehabilitation #7002.94	10/6/2010	San Rafael Rock Quarry, Inc.		91,250.00	No penalties									
Brannan-Andrus Levee Maintenance District	Fall 2010 Vegetation Management #7702.19	10/6/2010	Sun Harvest, Inc.		57,860.00	No penalties									
Brannan-Andrus Levee Maintenance District	Sevenmile Slough Levee Crown Raising #7702.20	3/3/2011	Asta Construction Company, Inc.		39,832.27	Still open									
Brannan-Andrus Levee Maintenance District	Georgiana Slough levee Seepage Management #7702.03	5/25/2011	San Rafael Rock Quarry, Inc.		175,028.00	Still open									
Brannan-Andrus Levee Maintenance District	Spring 2011 Vegetation Management (Mowing) #7002.23	5/25/2011	Sun Harvest, Inc.		57,860.00	Still open									
Public Agency Totals:					730,175.27	40.94	0.00	0.00	75.00	115.94	58.07	0.00	0.00	75.00	133.07

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Public Agency	Project	Bid Advertisement Date	Prime Contractor	Affected Contractor	Total Construction Cost	Amount Assessed					Amount Recovered				
						Wages	1775	1776	1813	Total	Wages	1775	1776	1813	Total
Reclamation District 38	Levee Mowing	contract signed 9/9/2010	Sun Harvest, Inc.		\$24,500.00	2248.44	100.00		200.00	2548.44	2437.45	100.00		200.00	2737.45
Reclamation District 38	North Fork Mokelumne Levee Seepage	12/22/2010	San Rafael Rock Quarry-Dutra		912,120.00	No penalties									
Reclamation District 38	Vegetation Management	11/17/2010	Sun Harvest		79,290.00	Still open									
Public Agency Totals:					1,015,910.00	2,248.44	100.00	0.00	200.00	2,548.44	2,437.45	100.00	0.00	200.00	2,737.45

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Public Agency	Project	Bid Advertisement Date	Prime Contractor	Affected Contractor	Total Construction Cost	Amount Assessed					Amount Recovered				
						Wages	1775	1776	1813	Total	Wages	1775	1776	1813	Total
County of Santa Cruz Resource Conservation District	Upper San Vicente Backwater Complex Restoration	2/29/2008	Balance Hydrologies		10,540.00	No penalties									
County of Santa Cruz Resource Conservation District	Roads, Zayante Creek Drainage, SIRP 24b Gyeers Roads, Bean Creek Unnamed Drainage, SLRP 24c Geyer Roads Bean Creek Middle Drainage	10/13/2010	Monteith		38,000.00	No penalties									
County of Santa Cruz Resource Conservation District	Larkin Valley Gully Repair 2010	7/29/2010	The Don Chapin Co.	The Don Chapin co.	79,044.80	\$1,505.68	80			\$1,585.68	\$1,719.12	80			\$1,799.12
County of Santa Cruz Resource Conservation District	Lower DeLaveaga #1 & #3	9/14/2010	Go Native, Inc.		93,311.00	No penalties									
County of Santa Cruz Resource Conservation District	Laguna Creek Floodplain Restoration Project	8/16/2010	California State Parks	Rain For Rent	229,957.88	\$1,359.99 waiver					\$1,751.14 waiver				
County of Santa Cruz Resource Conservation District	Geyer Quarry Analysis Action Plan	10/31/2010	Monteith		38,000.00	No penalties									
County of Santa Cruz Resource Conservation District	Upper San Vicente Phase II	6/15/2010	Finta Enterprises		22,349.00	No penalties									
County of Santa Cruz Resource Conservation District	California Red Legged Frog Pond Enhancement & Sediment Control Project	7/21/2010	Watsonville Wetlands Watch		23,730.00	Still open									
County of Santa Cruz Resource Conservation District	Koinonia Fish Passage Project	10/1/2009	Consolidated Engineering Labs		16,931.39	Still open									
County of Santa Cruz Resource Conservation District	Last Mile Phase II & III/Tarplant Hill/Watsonville Sloughs Ecological Reserve	10/30/2008	Watsonville Wetlands Watch		125,000.00	Still open									
Public Agency Totals:					676,864.07	2,865.67	80.00	0.00	0.00	2,945.67	3,470.26	80.00	0.00	0.00	3,550.26

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Public Agency	Project	Bid Advertisement Date	Prime Contractor	Affected Contractor	Total Construction Cost	Amount Assessed					Amount Recovered				
						Wages	1775	1776	1813	Total	Wages	1775	1776	1813	Total
Sutter Union High School District	Multi Purpose Building	4/16/2010	Hilbers, Inc.	Allcom Plumbing	6,171,294.00	2583.93	1540.00			4123.93	2583.93	1540.00			4123.93
Sutter Union High School District	Sutter 2-Story	7/14/2008	Hilbers, Inc.	Allcom Plumbing	4,431,942.00	264.00	30.00			294.00	264.00	30.00			294.00
				BR Acoustical		31.92	20.00			51.92	31.92	20.00			51.92
				George Roofing		26.32			25.00	51.32	26.32			25.00	51.32
				Hilbers, Inc		2877.57	320.00			3197.57	2945.48	320.00			3265.48
				Immoos Fire		628.68	60.00			688.68	628.68	60.00			688.68
				<b>Sutter 2-Story TOTALS</b>		<b>3828.49</b>	<b>430.00</b>	<b>0.00</b>	<b>25.00</b>	<b>4283.49</b>	<b>3896.40</b>	<b>430.00</b>	<b>0.00</b>	<b>25.00</b>	<b>4351.40</b>
				<b>Public Agency Totals:</b>	<b>10,603,236.00</b>	<b>6,412.42</b>	<b>1,970.00</b>	<b>0.00</b>	<b>25.00</b>	<b>8,407.42</b>	<b>6,480.33</b>	<b>1,970.00</b>	<b>0.00</b>	<b>25.00</b>	<b>8,475.33</b>

Public Agency	Project	Bid Advertisement Date	Prime Contractor	Affected Contractor	Total Construction Cost	Amount Assessed					Amount Recovered				
						Wages	1775	1776	1813	Total	Wages	1775	1776	1813	Total
County of Ventura	El Rio Phase 6	12/6/2007	Camarillo Engineering, Inc.	Camarillo Engineering, Inc.	1,876,110.00	1,618.92	28,150.00			29,768.92	1,618.92	5,630.00			7,248.92
				<b>Public Agency Totals:</b>	<b>1,876,110.00</b>	<b>1,618.92</b>	<b>28,150.00</b>	<b>0.00</b>	<b>0.00</b>	<b>29,768.92</b>	<b>1,618.92</b>	<b>5,630.00</b>	<b>0.00</b>	<b>0.00</b>	<b>7,248.92</b>

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Apprenticeship Violations Referred to Division of Apprenticeship Standards

Public Agency	Project	Bid Advertisement Date	Prime Contractor	Affected Contractor	Date Filed	Total Construction Cost	Amount Assessed			
							Failure to provide Contract award info	Failure to request apprentice	Failed to make training contribution	Other
County of Santa Cruz DPW	Gold Gulch Culvert Replacement Project at Brookside Way	7/1/2008	Mountain Cascade, Inc.	Mountain Construction, Inc.	7/19/10	849,655.00	X	X		